

3623  
CFW

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Darryl V. Landvater

Serial No.: 09/899,593

Filed: July 5, 2001

Title: Method and System For Retail Store  
Supply Chain Sales Forecasting and  
Replenishment Shipment  
Determination

Group Art Unit: 3623

Examiner: Tamara L. Graysay

Attorney Docket No.: 06521-00007

**CERTIFICATE OF MAILING (37 C.F.R. 1.8a)**

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Lawrence H. Meier* 10/5/05  
Lawrence H. Meier Date

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

October 5, 2005

**Transmittal Of Information Disclosure Statement  
Pursuant to 37 C.F.R. §1.97(b)(3)**

In connection with the above-identified application, please find attached an Information Disclosure Statement, along with copies of the foreign references and "other" publications cited therein.

This Information Disclosure Statement is being submitted after the passing of three months from the filing date of the application, but before the mailing date of the first Office Action on the merits. For this reason, no fee is due in connection with this submission.

P270a-07/01

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If any other fee is due with respect to the present application, please charge, or credit any overcharge, to Deposit Account No. 04-1588.

Respectfully submitted,

DOWNS RACHLIN MARTIN PLLC

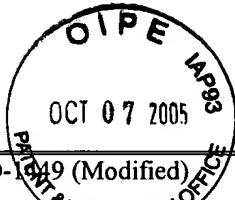
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BTV.455011.1

P270a-07/01

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FORM PTO-149 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

Page 1 of 1  
(Use several sheets if necessary.)

ATTY DOCKET NO.:  
06521-00007

SERIAL NO.:  
09/899,593

APPLICANT: Darryl V. Landvater

FILING DATE:  
7/05/2001

GROUP:  
3623

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINERS INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
AA	6,341,269	1/2002	Dulaney et al.	705	22	
AB	6,032,125	2/2000	Ando	705	10	
AC	5,953,707	9/1999	Huang et al.	705	10	
AD	5,845,258	12/1998	Kennedy	705	8	
AE	5,832,532	11/1998	Kennedy et al.	707	503	
AF	5,459,656	10/1995	Fields et al.	705	7	
AG	5,299,115	3/1994	Fields et al.	705	10	
AH	5,168,445	12/1992	Kawashima et al.	364	403	
AI	5,128,861	7/1992	Kagami	705	10	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	YES	NO
AJ	407175787	7/1995	Japan					
AK	407239884	9/1995	Japan					

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AL	Landvater, Darryl. World Class Production and Inventory Management, John Wiley and Sons, Inc., Second Edition, 1997.
AM	“Managing the demand chain through managing the information flow: Capturing ‘moments of information,’” by Rhonda R. Lummus and Robert J. Vokura, Production & Inventory Management Journal, V40n1, pp. 16-20, First Quarter, 1999.
AN	“Effective inventory management starts at the store,” Drug Store News, v20, n12, p52, Aug. 3, 1998.
AO	“Forecast Views: Retailers and Vendors Are Starting To Collaborate To Take Guesswork Out of Ordering By Refining Planning Methods,” by Linda Purpura, Supermarket News, v48, n23, pg61(1), Jun. 8, 1998.
AP	“Order Planning and Explosion” <i>MRPII Standard System-A Handbook For Manufacturing Software Survival</i> , by Darryl V. Landvater and Christopher D. Gray, Chapter 7, pp. 75-82. (1995).

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.